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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13768.189

PC921 U.S. PTO  
09/03/01

04/19/01

In Re Application Of: Donald J. Kadyk, et al.

Serial No.  
Not Yet Assigned

Filing Date  
April 19, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
Not Yet Assigned

Title: **METHODS AND SYSTEMS FOR AUTHENTICATION THROUGH MULTIPLE PROXY SERVERS  
THAT REQUIRE DIFFERENT AUTHENTICATION DATA**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Docket No.  
13768.189

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04/19/01  
09/838408  
Jc921 U.S. Pat.

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature

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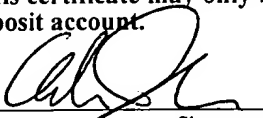
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Signature

Dated: **APRIL 19, 2001**

**ADRIAN J. LEE  
ATTORNEY FOR APPLICANT  
REGISTRATION NO. 42,685**



**022913**

**PATENT TRADEMARK OFFICE**

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

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13768.189

Application Number  
Not Yet Assigned

Applicant(s)  
Donald J. Kadyk, et al.

Filing Date  
April 19, 2001

Group Art Unit  
Not Yet Assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1	Bertram, Reinhard Multimedia in Secure Network Topologies Multimedia Computing and Networking 2000 24-26 January 2000, Volume 3969 Pgs. 287 & 288
2	Neuman, B. Clifford Proxy-Based Authorization and Accounting for Distributed Systems IEEE 1993 June 1993 Pgs. 283 - 291

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

